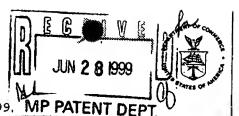
	STAT	EMENT UNDER 37 CFR	3.73(b)
Applicant/Paten	t Owner: Warner Lambert Compa	ny	
Application No./	Patent No.: 09/623,737	Filed/Issu	e Date: September 7, 2000
Titled: Bicyc	lic Pyrimidines And Bicyclic 3,4-di	hydropyrimidines As Inhib	itors Of Cellular Proliferation
Warne	er-Lambert Company	, a corporation	
(Name of Assignee)		·····	corporation, pertnership, university, government agency, etc.
states that it is:			
1. X the a	assignee of the entire right, title, and i	nterest in;	
	ssignee of less than the entire right, t extent (by percentage) of its owners); or
3 the a	assignee of an undivided interest in th	e entirety of (a complete ass	ignment from one of the joint inventors was made)
the patent applic	cation/patent identified above, by virtu	ie of either:	
the l	assignment from the inventor(s) of the United States Patent and Trademark y therefore is attached.	patent application/patent ide Office at Reel	entified above. The assignment was recorded in, Frame, or for which a
	ain of title from the inventor(s), of the	patent application/patent ide	ntified above, to the current assignee as follows:
1. F	From:	To:	
	The document was recorded in		
	Reel,	Frame	_, or for which a copy thereof is attached.
2. f	From:	То:	
	The document was recorded in		
			_, or for which a copy thereof is attached.
3. F	From:	То:	
	The document was recorded in	the United States Patent and	d Trademark Office at
	Reel,	Frame	_, or for which a copy thereof is attached.
Add	ditional documents in the chain of title	are listed on a supplemental	sheet(s).
	red by 37 CFR 3.73(b)(1)(i), the docu		in of title from the original owner to the assignee was,
	A separate copy (i.e., a true copy of topice with 37 CFR Part 3, to record the		ment(s)) must be submitted to Assignment Division in the USPTO. <u>See</u> MPEP 302.08]
The undersigne	d (whose title is supplied below) is au	thorized to act on behalf of th	ne assignee.
<			January 30, 2009
Signatu	re \		Date
	Bryan C. Zielinski		Attorney in Fact
Printed	or Typed Name		Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 end 37 CFR 1.11 end 1.14. This collection is estimated to teke 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commerce, P.O. Box 1450, Alexendre, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**: Commissioner for Patents, P.O. Box 1450, Alexendra, VA 22313-1450.



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JUNE 10, 1999,

WARNER-LAMBERT COMPANY PATENT DEPT.

ROSE ARMSTRONG 201 TABOR ROAD

MORRIS PLAINS, NJ 07950

JUL 1 3 1999

AA PATENT DEPT.

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/08/1999

REEL/FRAME: 9846/0982 NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DOBRUSIN, ELLEN MYRA

DOC DATE: 02/25/1999

ASSIGNOR:

KRAMER, JAMES BERNARD

DOC DATE: 10/13/1998

ASSIGNOR:

SHOWALTER, HOWARD DANIEL HOLLIS DOC DATE: 10/12/1998

ASSIGNEE:

WARNER-LAMBERT COMPANY

201 TABOR ROAD

MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 60086708

PATENT NUMBER:

FILING DATE: 05/26/1998

ISSUE DATE:

9848/0982 PAGE 2

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 03-31-1999

U.S. DEPARTMENT OF COMMERCE

Docket No.: 5716L1-01-CA



Patent and Trademark Office

OMB No. 0851-0011 (exp. 4/94) Copyright 1994-97 LogalStar P08/REV02	Patent and Trademark Offic
Tab settings → → ▼	100996012 ▼ ▼
1. Name of conveying party((es):	
	Name and address of receiving party(ies):
DOBRUSIN, Ellen Myra GPR/FIRANCE KRAMER, James Bernard	Name: _Warner-Lambert Company
SHOWALTER, Howard Daniel Hollis	Internal Address:
Additional connection of connection of the Conne	
Additional names(s) of conveying party(ies) Yes X No	
3. Nature of conveyance:	
⊠ Assignment	Street Address: 201 Tabor Road
☐ Security Agreement ☐ Change of Name	
☐ Other	City: Morris Plains State: NJ ZIP: 07950
Execution Date: _10/12/98, 10/13/98 and 2/25/99	
Execution Date. 10/12/95, 10/13/95 and 2/23/99	Additional name(s) & address(es) attached? Yes No
4. Application number(s) or registration numbers(s):	
If this document is being filed together with a new applicatio	n, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
60/086,708	
Additional numbers atta	ıched? ☐ Yes ☒ No
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:
	7. Total fee (37 CFR 3.41):\$ 40.00
Internal Address: Warner-Lambert Company	☐ Enclosed - Any excess or insufficiency should be
Patent Department	credited or debited to deposit account
	Authorized to be charged to deposit account
Street Address: 201 Tabor Road	
	8. Deposit account number:
	23-0455
City: Morris Plains State: NJ ZIP: 07950	
3/31/1999 JSHABAZZ 00000023 230455 60086708 DO NOT	USE THIS SPACE
1 FC:581 40.00 CH 9. Statement and signature.	
To the best of my knowledge and belief, the foregoing inform	nation is true and correct and any attached copy is a true copy
of the original document.	11 000
Charles W. Ashbrook Syn 90	March 8, 1999
Name of Person Signing	Signature Date
Total number of pages including cover s	neer, attachments, and document:

ASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY a corporation of the State of Delaware having a place of business at ANN ARBOR, MICHIGAN, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION, and all related applications (including provisionals, divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries, and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title, and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all provisional, divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors, and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): 60/086,708

Filing Date (if known): May 26, 1998

Execution Date(s) of Application: 10/13/98, 10/13/98, 2/25/99

Title: BICYCLIC PYRIMIDINES AND BICYCLIC 3,4-DIHYDROPYRIMIDINES AS INHIBITORS OF CELLULAR PROLIFERATION

5716ASSIGN.431.DOC

FULL NAME OF INVENTOR: ELLEN MYRA DOBRUSIN

Signature of Inventor: Why T

Address: 2205 Winchell

Ann Arbor, Michigan 48104

STATE OF

MICHIGAN

COUNTY OF WASHTENAW

On <u>February 25, 1999</u>, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

FULL NAME OF INVENTOR: JAMES BERNARD KRAMER

Signature of Inventor:

Address: 7128 Grenlock Drive Sylvania, Ohio 43560

STATE OF COUNTY OF

MICHIGAN

WASHTENAW

On <u>Struct</u> 13, 1998, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

Notary Public

My Commission Expires:

DIANE L. OSTROWSKI
Notary Public, Livingston County, Michigan
Acting in Washtenaw County
My Commission Expires June 24, 2000

FULL NAME OF INVENTOR: HOWARD DANIEL HOLLIS SHOWALTER

Signature of Inventor:

<u>10112198</u>

Address: 3578 Lamplighter Drive

Ann Arbor, Michigan 48103

STATE OF MICHIGAN
COUNTY OF WASHTENAW

On 12,198, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

Notary Public

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000



PTAS

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JULY 22, 1999

WARNER-LAMBERT COMPANY CHARLES W. ASHBROOK PATENT DEPARTMENT 2800 PLYMOUTH ROAD ANN ARBOR, MI 48105

JUL 28 1599

AA PATENT DEPT

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/11/1999

REEL/FRAME: 009960/0472

NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DOBRUSIN, ELLEN MYRA

DOC DATE: 04/09/1999

ASSIGNOR:

HAMBY, JAMES MARINO

DOC DATE: 04/13/1999

ASSIGNOR:

KRAMER, JAMES BERNARD

DOC DATE: 04/08/1999

ASSIGNOR:

SCHROEDER, MEL CONRAD

DOC DATE: 04/08/1999

ASSIGNOR:

SHOWALTER, HOWARD DANIEL HOLLIS DOC DATE: 04/06/1999

ASSIGNOR:

TOOGOOD, PETER LAURENCE

DOC DATE: 04/07/1999

ASSIGNOR:

TRUMPP-KALLMEYER, SUSANNE

DOC DATE: 04/07/1999

009960/0472 PAGE 2

ASSIGNEE:

WARNER-LAMBERT COMPANY 201 TABOR ROAD MORRIS PLAINS, NEW JERSEY 07950

SERIAL NUMBER: 60126158

PATENT NUMBER:

FILING DATE: 03/25/1999

ISSUE DATE:

LAWAN FLETCHER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

In 5716-01-CA FORM PTQ-1595 (Modified) RECORDATION FORM TMENT OF COMMERCE (Rev. 6-93) OMB No. 0651-0011 (exp.4/94) ent and Trademark Office **PATENTS Copyright 1994-97 LegalStar P08/REV02 Tab settings -To the Honorable Commissioner of 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): MAY 1 1 1999 DOBRUSIN, Ellen Myra Name: Warner-Lambert Company HAMBY, James Marino KRAMER, James Bernard Internal Address: SCHROEDER, Mel Conrad Additional names(s) of conveying party(ies) ☑ Yes ☐ No Nature of conveyance: ☐ Merger Street Address: 201 Tabor Road ☐ Security Agreement ☐ Change of Name ☐ Other City: Morris Plains State: NJ ZIP: 07950 Execution Date: 4-6, 4-7, 4-8, 4-9, 4-13 and 4-26-99 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 60/126,158 Additional numbers attached? Yes No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Charles W. Ashbrook Name: 7. Total fee (37 CFR 3.41):....\$ 40,00 Internal Address: Warner-Lambert Company ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Patent Department Authorized to be charged to deposit account Street Address: 2800 Plymouth Road 8. Deposit account number: 23-0455 City: Ann Arbor _ State: MI ZIP: 48105 DO NOT USE THIS SPACE 9. Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charles W. Ashbrook May 6, 1999 Name of Person Signing Date Total number of pages including cover sheet, attachments, and document:



PD-5716-01-CA

Page 2

Recordation Form Cover Sheet Attachment

Additional name(s) of conveying party(ies):

SHOWALTER, Howard Daniel Hollis TOOGOOD, Peter Laurence TRUMPP-KALLMEYER, Susanne

ASSIGNMENT

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as an inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified below. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto WARNER-LAMBERT COMPANY a corporation of the State of Delaware having a place of business at MORRIS PLAINS, NEW JERSEY, its successors and assigns, my entire interest in and the full exclusive right to the INVENTIONS, the APPLICATION, and all related applications (including provisionals, divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries, and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to WARNER-LAMBERT COMPANY, its successors and assigns, as the assignee of my entire right, title, and interest in and to the same. I agree that I will communicate to WARNER-LAMBERT COMPANY, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all provisional, divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to WARNER-LAMBERT COMPANY; make all rightful oaths; and generally do everything possible to aid WARNER-LAMBERT COMPANY, its successors, and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world. The APPLICATION is identified herein.

Serial No. (if known): 60/126,158

Filing Date (if known): March 25, 1999

Execution Date(s) of Application: 4-6-4-7-4-8-4-9-4-13 in 4-36-49

Title: BICYCLIC PYRIMIDINES AND BICYCLIC 3,4-DIHYDROPYRIMIDINES AS INHIBITORS OF CELLULAR PROLIFERATION

FULL NAME OF INVENTOR: ELLEN MYRA DOBRUSIN

Signature of Inventor: Slen My

Address: 2205 Winchell

Ann Arbor, Michigan 48104

STATE OF **MICHIGAN** COUNTY OF WASHTENAW

On 4,1999, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

FULL NAME OF INVENTOR: JAMES MARINO HAMBY

Signature of Inventor:

Address: 4390 Chad Court

Ann Arbor, Michigan 48103

STATE OF **MICHIGAN** COUNTY OF WASHTENAW

his/her free act and deed in and for the purposes set forth in said instrument.

Notary Public

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

Signature of Inventor January Semul Senson 4/3/49
Address: 7128 Grenlock Drive Sylvania, Ohio 43560
2, , 2
STATE OF MICHIGAN COUNTY OF WASHTENAW
On <u>lkril δ, 1999</u> , the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.
Notary Public
My Commission Expires: DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

FULL NAME OF INVENTOR: JAMES BERNARD KRAMER

		•

FULL NAME OF INVENTOR: MEL CONRAD SCHROEDER

Signature of Inventor Mc Como of Achireda 4/8/99
Date

Address: 7858 Ridgeway Court

Dexter, Michigan 48130

STATE OF **MICHIGAN** COUNTY OF WASHTENAW

On 8,1999, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

FULL NAME OF INVENTOR: HOWARD DANIEL HOLLIS SHOWALTER

Signature of Inventor: Heridad Vine Hollin Skoutter 6 April 1990

Date

Address: 3578 Lamplighter Drive

Ann Arbor, Michigan 48103

STATE OF MICHIGAN
COUNTY OF WASHTENAW

Notary Public

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

FULL	NAME	OF	INVENT	OR:	PETER	LAURENCE	TOOGOOD

Signature of Inventor: 11/4

Address: 2281 Sun Valley Drive

Ann Arbor, Michigan 48108

STATE OF MICHIGAN
COUNTY OF WASHTENAW

On <u>first 7 1999</u>, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be his/her free act and deed in and for the purposes set forth in said instrument.

Notary Public

My Commission Expires:

DIANE L. OSTROWSKI Notary Public, Livingston County, Michigan Acting in Washtenaw County My Commission Expires June 24, 2000

FULL NAME OF INVENTOR: SUSANNE TRUMPP-KALLMEYER

Signature of Inventor: <u>Dr. Susanue Trumps- Kal Onerge</u>

Date

Address: Gundefjaellsgatan 411, 35/04/26

Address: Gundefjaellsgatan 411, 431 51 Moelndal

SWEDEN

City of Mölndal, Sweden

COUNTRY OF ____

On April 26, 1999, the above-named inventor personally appeared before me and executed the foregoing instrument and acknowledged the same to be

His/her free act and deed in and for the purposes set forth in said instrument.

Notary Public, Christer Landström

My Commission Expires: until further notice (Sweden)



TRANSMISSION REPORT

(WED) JUL 28 1999 14:39 WARNER-LAMBERT CO.

DOCUMENT #	3560555-791
TIME STORED	07. 28 14:33
TIME SENT	07. 28 14:37
DURATION	2' 37"
DESTINATION	6849
DST. TEL#	83853117
MODE	ECM
PAGE (S)	13PAGE (S)
RESULT	OK
DEPARTMENT	